

# **GUIDANCE ON COAL TIP SAFETY GRANT 2022-23**

## **Contents**

Introduction.....	2
Purpose.....	2
Professional Fees.....	2
Applying for Funding .....	2
Capital funding.....	2
Revenue funding .....	3
Submission of proposals .....	3
Procurement procedures.....	3
Community Benefits.....	3
UK Subsidy Control.....	4
Process for approval.....	4
Claiming of capital grant.....	4
Monitoring and evaluation of projects .....	4
Reporting .....	4
Project management, monitoring and evaluation.....	4
Completion of works .....	4
Questions and Queries.....	4

## Introduction

1. This guidance is designed for local authorities, the Coal Authority and Natural Resources Wales to apply for capital funding from the Welsh Government's Coal Tip Safety Grant.
2. This Grant Scheme contributes to the well-being goals in the [Well-being of Future Generations \(Wales\) Act 2015](#) and the Welsh Government's wider programme of investment to support delivery of [Prosperity for All: the national strategy](#) (PfA).

## Purpose

3. The purpose of the Coal Tip Safety Grant is to provide financial support to local authorities and Natural Resources Wales (NRW) to deliver works (where these have been identified through Coal Authority and/or local authority inspections, or to address urgent / emergency requirements) at coal tip sites across Wales (category A-D). This will ensure effective management, mitigate the risk of future instability and landslip events, and the potential impact on public safety together with economic and environmental impacts.
4. Funding will also cover any technology requirements to support the monitoring or inspection of coal tips.
5. The grant will also provide funding to the Coal Authority to support the trial of technologies at suitable high-risk coal tips, the purpose of which is to identify appropriate technologies, which may contribute to the future monitoring of tips and provide early warning of any tip movement.

## Professional Fees

6. Some capital proposals will incur costs such as professional fees directly relating to the physical works. These will need to be calculated as part of the overall capital cost of the proposal.

## Applying for Funding

7. Only the above-mentioned organisations (para 3) can submit applications for funding and will act as the grant recipient.
8. Applications can only cover one year (2022/23). All applications will be required to demonstrate cost effectiveness.
9. The grant scheme will not be open to private individuals or organisations. The application form and any supporting information should be completed and signed electronically before sending to Welsh Government at [CoalSpoilTips@gov.wales](mailto:CoalSpoilTips@gov.wales)

## Capital funding

10. Capital funding should be applied for to cover monitoring, inspections, maintenance and capital works on any disused coal tips, including those that are privately owned.

11. Applications should include a breakdown of all the costs associated with each site and should also include details of the specific results and / or targets expected to be delivered.
12. The recipient would be expected to apply due diligence in respect of all capital proposals.
13. Applications must also demonstrate that any consents/licenses/permissions have been granted or evidence that they will be in place where and when required.
14. Also, if your project will affect a Site of Special Scientific Interest, Special Protection Area for Birds, Special Area of Conservation, impacts on common land or requires an EIA, please provide details identifying the areas concerned and any required compliance actions undertaken or to be undertaken.

## Revenue funding

15. Revenue funding can be applied for to cover additional resources which may be required to support the overall management of coal tip safety works. This includes posts relating to administration, data or project support.

## Submission of proposals

16. To manage the process efficiently, there will be one bidding round for 2022/23, with claims to be submitted by **Friday 01 July 2022** for all known works to be undertaken during the financial year. Applications for any works required as a result of inspections throughout the year, can be submitted separately with the approval of the WG grant team.
17. Supporting inspection reports are to be available, if requested, to support applications. As a minimum, a copy of the most recent inspection report is to be included for each site requiring funding. The level of detail included as supporting evidence should be considered appropriate for the value of the works. If the supporting evidence included is not adequate, the WG grant team will request further detail before progressing the claim; therefore, organisations are encouraged to share as much information as possible. For example, where works have a value >£10k, submitting organisations should consider including outline scoping documents, programme of works and any works specifications or drawings, even in draft status.

## Procurement procedures

18. Grant recipients must comply with public procurement regulations. Contracts cannot be awarded on previously tendered contracts, however the use of frameworks may be permitted provided they have been secured following appropriate procurement procedures.

## Community Benefits

19. Community benefits to be set out on first page of application form.

## UK Subsidy Control

20. You will be required to set out the position of any privately owned tip projects in relation to any subsidy. The nature of the funding will require a project by project assessment.

## Process for approval

21. Each application will be reviewed to ensure it meets the purpose of the grant and allows for urgent/routine works to be undertaken within this financial year. An Award Letter will be issued once the application has been approved.

## Claiming of capital grant

22. Claims forms will be issued during the year with a set submission deadline for early 2023.

## Monitoring and evaluation of projects

### Reporting

23. Organisations will be required to submit periodic reports to Welsh Government, when requested, outlining progress against the plans and targets as set out in their proposals.

### Project management, monitoring and evaluation

24. Proposals should clearly demonstrate the arrangements in place to manage, monitor and evaluate the outcomes and impact of the grant funding.

### Completion of works

25. When submitting claim forms for the completed works, detail should include, where applicable:
  - Summary of works completed
  - Date completed
  - As-built drawings
26. As part of the grant assurance process, a member of the WG team may visit selected sites to note the completed works.

## Questions and Queries

27. Any questions or queries relating to this guidance or the Coal Tip Safety Grant, should be submitted to [CoalSpoilTips@gov.wales](mailto:CoalSpoilTips@gov.wales)